

# Checklist for Selecting Your Wealth Management Firm

Key Consideration	RegentAtlantic Capital, LLC	Other Firms
<b>Intelligence</b> <ul style="list-style-type: none"> <li>• What is the level of education and professional credentials of the financial advisors?</li> <li>• Does the firm have the expertise to deal with complex issues I'm facing?</li> </ul>	<p>RegentAtlantic Capital has 23 credentialed wealth management professionals with 300 years of combined experience. Credentials are spread across many disciplines including financial planning, law, accounting, insurance, and divorce planning. All of the expertise of the firm is available to every client.</p>	
<b>Honesty</b> <ul style="list-style-type: none"> <li>• How does the firm get compensated?</li> <li>• Is there a fiduciary requirement?</li> </ul>	<p>RegentAtlantic Capital has been a fee-only Registered Investment Advisor since 1982. Our only source of compensation is the fee our clients pay us. We don't sell any products and consequently we don't receive any commission income. As a Registered Investment Advisor, we hold a fiduciary relationship with our clients. That means we always put our clients' interest ahead of our own.</p>	
<b>Trust</b> <ul style="list-style-type: none"> <li>• What indicators do I have to know whether clients trust the firm?</li> </ul>	<p>The best indicator is client retention rate. RegentAtlantic has had a 99% average client retention rate over the past five years.</p>	
<b>Independence</b> <ul style="list-style-type: none"> <li>• Will the firm be able to continue delivering the high quality of service as it is today?</li> </ul>	<p>RegentAtlantic is committed to being owned, managed and controlled by our employees. We have a clearly defined succession plan in place that is intended to preserve our culture for the benefit of our clients and our associates.</p>	
<b>Relationships</b> <ul style="list-style-type: none"> <li>• Who will be watching out for me?</li> </ul>	<p>A team consisting of a wealth manager, financial advisor, analyst, and client service administrator gets to know each client very well. The team meets weekly to review every client on the team.</p>	